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Fees and Services

as of September 2024

Service	Fee
Bond redemption – <i>when held in street name</i>	No charge
Bond redemption – delivered to us for collection	\$50.00
Check stop payment / re-issue	\$25.00
Corporate Action – <i>security transfer for reorganization, merger (DTCC shares only)</i>	No charge
Corporate Action – <i>physical transfer (certificated shares)</i>	Direct fees vary
DADVANTAGE –	
Checkwriting annual fee	No charge
Check order fee	First box – free
Check reorder fee	\$20.00-43.00
<i>for Specialty or Corporate check printing, please contact your financial professional</i>	
Visa debit card annual fee*	\$80.00
VisaSignature or Platinum credit card annual fee	No charge
Direct withdrawal at custodian – (DWAC)	Varies by custodian
<i>charge from corporate transfer agents to settle cashless stock option exercise</i>	
Domestic wire transfer	\$25.00
Estate accounts –	\$50.00 per issue
<i>transfer of estate assets registered in client name, processing of</i>	<i>may be higher based on</i>
<i>death puts or survivor options</i>	<i>transfer agent or custodian's fee</i>
International wire transfer	\$75.00
IRA maintenance*	First year – No charge
IRA maintenance – <i>(after first year)*</i>	\$60.00 per SS# for all IRAs
Issuance of a stock certificate – <i>for registration to client name</i>	\$100.00 minimum
<i>may be higher based on transfer agent or custodian's fee</i>	
Lost certificate replacement – <i>if notified within 90 days</i>	No charge
Lost certificate replacement – <i>if notified beyond 90 days</i>	\$50.00
Money market / cash equivalent prepayment	Margin Interest Rate - \$5.00 minimum
Non-publically traded security maintenance** - (annual)	\$200.00 per security
Returned check or ACH transfer	\$25.00
Safekeeping – <i>of client registered securities, one charge annually per account</i>	\$50.00
Trade processing fee	\$6.85
Transfer of an account to another financial institution	\$100.00
Transfer, processing and mailing fees	Direct fees vary

*Prestige program fee waivers may apply

**Non-publically traded securities may include securities classified as private offerings, direct participation programs or non-traded REITs.

D.A. Davidson & Co. retains the right to change fees at its sole discretion. Direct fees paid by D.A. Davidson for processing may apply. Please note that although this table covers our most common charges, not every fee or pricing detail is listed here. Some fees may be reduced or waived based on Prestige Program qualification levels.

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